



BROMPTON ASSET MANAGEMENT PORTAL

USER NOTICE

Terms of use

This notice sets out the terms on which users may access the Brompton Asset Management portal (portal). Clients and their advisers and representatives may, via the portal, view the assets and investments managed on clients' behalf by Brompton Asset Management and for which Multrees provides safe custody.

Definitions

Brompton is Brompton Asset Management Limited, a company registered in England, company number 06866020, with registered office at 1 Knightsbridge Green, London, SW1X 7QA.

Multrees is Multrees Investor Services Limited, is a company registered in England, company number 07225386 with registered office at One Carter Lane, London EC4V 5AN.

Both are authorised and regulated by the Financial Conduct Authority.

Client is “you” or “your” if Brompton provides you with discretionary investment management services.

Advisers and representatives are people whom clients have authorised to have access via the portal to information about them and their investments.

Portal is the Brompton Asset Management portal whether accessed via a web browser or App.

User or **users** refer to clients, advisers and representatives that have access to the portal.

This notice applies to all access to the portal. Please read it before using the portal. By using the Portal, you accept the terms set out in this notice and agree to abide by them. If you do not agree to these terms, please refrain from using the portal.

Accessing the portal

Users may only use the portal to view their own information or another person's information if that person has given permission for them to do so. If users do not have permission, they must not access that information.

If you have given permission to an adviser or representative to access your information, it is your responsibility to tell Brompton if you no longer wish this person to have access. Brompton and Multrees have no liability for the actions of any adviser or representative in relation to their use of the portal.



Each person accessing the portal must have their own log-in ID and password. Users are responsible for maintaining the confidentiality of their passwords and any other access credentials used to access the portal and this information must not be disclosed to others. Brompton will have no liability for the actions of other people in relation to their use of the portal if users have shared their log-in details with them.

Brompton will disable any log-in ID or password if, in Brompton's reasonable opinion, a user has not complied with any provisions in this notice. If you believe your personal or account information has been compromised or exposed to a third party, either by your own actions or the actions of others, you should immediately notify Brompton by email at bamops@bromptonam.com.

Portal information

The value of investments is calculated using the closing prices from the previous working day. If, therefore, you access the portal on a Saturday, Sunday or Monday, the valuation will be based on Friday's closing prices.

The cash in an account may reflect an investment transaction still in progress. For example, sale proceeds might have been received but any corresponding purchases may not have been made. Please, therefore, check the value of any investments or cash balances with your investment manager before providing Brompton with any instructions such as requests to withdraw money. In general, it is always advisable to check with Brompton before making financial decisions based on information displayed within the Portal.

If you have queries about the information provided in the portal, please contact bamops@bromptonam.com.

Provision of the portal and ownership of rights

The portal is provided by Multrees and its service and content providers to Brompton so that Brompton may make it available to clients and their advisers and representatives. Multrees and its service and content providers make no representation or warranty of any kind, express or implied, about the operation of the portal or the information, content or materials included on the portal.

Brompton, Multrees and its service and content providers are the owner or the licensee of all intellectual property rights in the portal, and users agree they will not do anything to infringe or prejudice those rights.

Users must not (and are not licensed to) under any circumstances, attempt, permit or assist any other party, to copy, reproduce, reverse engineer or reverse compile any of the technology associated with the portal or its contents.

Users must not attempt to gain unauthorised access to the portal, the server on which the portal is stored or any server, computer or database connected to the portal. Users must not attack the portal via a denial-of-service attack or a distributed denial-of service attack.

Suspension and termination



Brompton and Multrees may, without notice, liability or prejudice to their other rights, remove content or postings and/or suspend access to the portal if Brompton and/or Multrees suspect fraudulent, abusive or illegal activity or activity in contravention of this notice. Any such activity may be referred to appropriate law enforcement authorities.

Users can terminate their use of the portal at any time by sending an email to bamops@bromptonam.com.

Brompton, having provided users with reasonable notice, may discontinue providing them with access to the portal at its discretion.

Privacy

Brompton is the data controller with regard to users' personal data processed in providing the portal. Multrees and its service providers process users' personal data in accordance with Brompton's instructions and Brompton is responsible for looking after and managing users' personal data. Users should read the privacy notice [here](#) to understand the nature and purposes of this processing, how Brompton looks after users' personal data and their rights in relation to their personal data.

Changes to this notice

Changes to this notice will be posted via the portal. Such changes shall be effective from the next time users access the portal.

Law and jurisdiction

English law will govern this notice and English courts will have exclusive jurisdiction over any claim arising from, or related to, this notice or use of the portal.

Contact

If you have questions about this notice, please contact Brompton via bamops@bromptonam.com.